



AP/3626
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Carl Lee Bates et al : Date: September 13, 2004
Group Art Unit: 3626 : IBM Corporation
Examiner: V. Frenel : Intellectual Property Law
Serial No.: 09/553,010 : Dept. 917, Bldg. 006-1
Filed: April 20, 2000 : 3605 Highway 52 North
Title: LOCATION-BASED VEHICLE RISK : Rochester, Minnesota 55901
ASSESSMENT SYSTEM

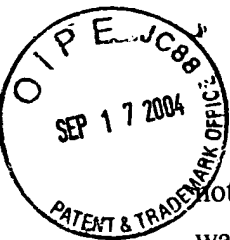
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
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Alexandria, VA 22313-1450
Sir:

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Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

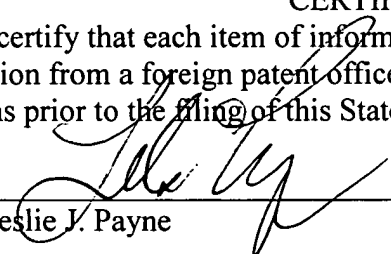
The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3).



This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

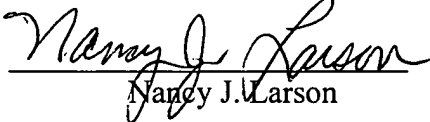


Leslie J. Payne

____ September 13, 2004 ____
Date

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

____ September 13, 2004 ____
(Date of Deposit)



Nancy J. Larson

Respectfully submitted,

By: 

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